

CURRENT STATUS OF WORK IN 2017/18 AUDIT PLAN

AUDIT	STATUS
Corporate & Cross-Cutting	
Annual Governance Statement & Governance Support	Complete
Asset Management	Deferred
Business Continuity and Emergency Planning	Reasonable Assurance
Data Quality	High Assurance
Document Management	Deferred
Governance	Deferred
Health & Safety	Reasonable Assurance
Health & Safety (follow-up)	No Opinion Given
Information Security	Reasonable Assurance
ICT Governance & Cyber Security	In progress
ICT Access Controls (follow-up)	No Opinion Given
Freedom of Information	Draft report issued
Overtime	Draft report issued
PCI DSS	Substantial Assurance
Performance Management	Reasonable Assurance
Procurement – Waivers	High Assurance
Procurement – Breaches	High Assurance
Project Management – Miscellaneous Project Support	Complete

AUDIT	STATUS
Project Management – ASC Project Support	Complete
Register of Interests	Draft report issued
Scrutiny	Deferred
Use of Social Media	No Opinion Given
Whistleblowing (support)	Complete
Main Financial Systems	
Financial Transactions and Income Management	High Assurance (Financial Transactions) Reasonable Assurance (Income Management)
Council Tax & NNDR	Draft report issued
Council Tax Support and Housing Benefits	Substantial Assurance
Debtors	Substantial Assurance
Housing Rents	Deferred
Main Accounting System	High Assurance
Ordering and Creditor Payments	Substantial Assurance
Payroll	Draft report issued
Treasury Management	High Assurance
Health, Housing and Adult Social Care	
Better Care Fund	Deferred
Community Safety Plan	Deferred

AUDIT	STATUS
Deprivation of Liberty Assessments	Reasonable Assurance
Direct Payments	Reasonable Assurance
Housing Performance	Draft report issued
Public Health (support/advice)	Complete
Savings Plans (HHASC)	Substantial Assurance
Supporting Vulnerable People	No Opinion Given
Continuing Healthcare – Adults (addition to plan)	Draft report issued
Economy and Place	
Bus Pass Usage Claims	Substantial Assurance
Car Parking	Draft report issued
Procurement of Sub-Contractors	No Opinion Given
Property Income	In progress
Section 106 Agreements	Draft report issued
Trading Standards	Draft report issued
Taxi Licensing (addition to plan)	Draft report issued
Children, Education and Communities	
Contributions to Care	Draft report issued
High Needs SEN	Draft report issued
Regional Adoption Agency	Deferred
Schools: Stockton-on-Forest Primary School	Substantial Assurance

AUDIT	STATUS
Westfield Community Primary School	High Assurance
Copmanthorpe Primary School	High Assurance
Skelton Primary School	Substantial Assurance
Our Lady Queen of Martyrs Primary School	Reasonable Assurance
Joseph Rowntree Secondary School	Reasonable Assurance
Huntington Secondary School	High Assurance
Schools Themed Audit – Pupil Premium	Substantial Assurance
Schools Themed Audit – Information Governance (follow-up)	Reasonable Assurance